# GUIDELINES FOR XII<sup>th</sup> PLAN (2012-2017)

Dr. S. Radhakrishnan Post Doctoral Fellowship In Humanities And Social Sciences (Including Languages)



### UNIVERSITY GRANTS COMMISSION BAHADURSHAH ZAFAR MARG, NEW DELHI-110 002



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#### 1. Introduction

Post-doctoral research is an important enabling step in grooming young researchers for launching an academic/research career. This is a transition phase from a largely supervisor directed student at doctoral level to an independent researcher for leadership position, particularly in academia. A post-doctoral stint also provides an opportunity to acquire new skills, broaden one's horizon and offers a platform for transiting into cross-disciplinary areas. Experience in other parts of the world has shown that university faculty is best inducted from among candidates who had a year or two of post-doctoral training and is generally considered an essential requirement.

Mentoring for independent research is an indispensable element of post-doctoral training. Top rated institutions in our country which value research also follow this practice but it has not permeated widely. Leading research groups around the world usually have a good mix of post-doctoral fellows and a doctoral student with the former bringing in a level of caught on and is practiced only sporadically in a few selected places. However, in our country post-doctoral research culture has not caught on and is practiced only sporadically in a few selected places. Ironically, Ph.D holders from leading institutions in India, particularly in Humanities and Social Sciences prefer seeking post-doctoral positions overseas. Within the country, a PDF position is mistakenly viewed as a mere parking opportunity while scouting for a regular appointment.

With our higher education system poised for rapid and massive expansion in the years ahead and the establishment of many new Universities and institutions on the anvil, requirement of potential faculty with PDF experience will be very substantial. Thus, a 'home grown' PDF program can be expected to partly redeem the expected short fall in trained faculty required for Higher Education Institutes. It is in this context that UGC initiative to launch a prestigious PDF initiative, named after an illustrious educationist and former President of India (1962-67) is important and timely and fills a widely felt void.

#### 2. Objective

The objective of this award is to provide an opportunity to carry out the advanced studies and research in Humanities and Social Sciences including languages in Indian Universities/Colleges/ Institutions as indicated below:

- i. Universities/Institutions/Colleges included under section 2(f) and 12(b) of UGC Act, 1956.
- ii. Deemed to be Universities under section 3 of the UGC Act, 1956 which are eligible to receive grant in aid from UGC.
- iii. Universities/Institutions/Colleges funded by Central/ State Govt.
- iv. Institutes of National Importance.

#### 3. Eligibility/Target

- i. The candidate should be unemployed.
- ii. The fellowship is open for full time research work to young researchers below 35 years of age as on the last date of submission of online application. Relaxation of 5 years for SC/ST/OBC/Women/PWD (Persons with Disabilities) candidates.
- iii. For general category, minimum 55% of marks at UG level and minimum 60% of marks at PG level are mandatory. For reserved categories (SC/ST/OBC/PWD) candidates, minimum 50% of marks at UG level and minimum 55% of marks at PG level are required.
- iv. Candidates applying for PDF must upload the No Objection Certificate from the proposed research centre duly signed by the HOD and Registrar/Principal/Director of the University/College/Institute as per **Annexure-I**.
- v. Transgender candidates are eligible to apply under the scheme. The reservation shall be followed as per the Govt. of India norms

Note: Candidate must identify a Guide for his/her post doctoral research work and obtain his/her consent for the guidance.

#### 4. Reservation

There shall be reservation for SC/ST/OBC/PWD candidates as per the Govt. of India norms.

#### 5. Aadhaar Seeding

The Ministry of Human Resource Development, Government of India vide its letter D.O. No. 18-7/2013-U1A dated 10<sup>th</sup> June, 2016 has instructed the University Grants Commission that from the financial year 2016-17 onwards the Aadhaar has been made mandatory for disbursement of all Government subsidies/Scholarships/Fellowships which are to be disbursed directly into the beneficiary's account. Accordingly, Aadhaar will be used as an Identifier for release of grant under the scheme.

#### 6. Nature of Assistance available under the Scheme

#### 6.1 Slots

The number of slots available under the scheme is 200 per year.

#### 6.2 Tenure

The tenure of the award is three years with no provision for further extension. At the end of this period the fellow ceases to be a UGC research fellow with immediate effect.

#### **6.3** Financial Assistance

The financial assistance available under the scheme is as follows:

Fellowship	Rs. 38,800/- for 1 <sup>st</sup> year			
	Rs. 40,300/- for 2 <sup>nd</sup> year			
	Rs. 41,900/- for 3 <sup>rd</sup> year			
Contingency	Rs. 50,000 p.a. for three years			
Escorts/Reader	Rs. 2000/- p.m. (fixed) in case of			
assistance	PWD candidates			

<sup>\*</sup> The revised rate of fellowship is applicable w.e.f. 01.12.2014.

Note: At the end of tenure, the books, journals and equipment purchased out of the contingency grant will become the property of the department of the University.

#### **6.4 HRA**

- i. Suitable single-seated hostel accommodation may be provided to the candidates in the institutions. In such cases, the fellow is eligible to draw only hostel fees excluding mess, electricity, water charges, etc. A certificate to this effect is to be furnished through the Registrar/Director/Principal. HRA is not permissible for those candidates who are staying in Hostel accommodation.
- ii. In case of non availability of hostel accommodation, the fellow may be provided with single accommodation by the host institution. In such cases, the rent paid by the fellow on actual basis may be reimbursed subject to the ceiling of HRA as per Govt. of India norms.
- iii. If the fellow makes her own arrangements of accommodation, he/she may be entitled to draw HRA as per categorization of cities by the Govt. of India.

Note: In all the above cases, the fellow will submit a relevant certificate (Annexure-VI) through the concerned University/College/Institute to the identified Agency for settling the claim.

#### 6.5 Medical

No separate/fixed medical assistance is provided. However, the Post Doctoral Fellow may avail the medical facilities available in the University/Institution/ College.

#### 7. Leave:

- i. Leave for maximum period of 30 days in a year in addition to public holidays may be taken by the Post Doctoral Fellow. However, they are not entitled to any other vacation, such as summer, winter and pooja vacations.
- ii. Maternity leave/Paternity leave as per the Govt. of India norms issued from time to time would be available at full rates of the fellowship once during the tenure of their award.
- iii. Academic leave (without fellowship and other emoluments) shall be permissible only for one year throughout the tenure (for any kind of academic/teaching assignment/foreign visit in connection with research work). The period of leave without fellowship will be counted towards the tenure. Expenditure on foreign visit in connection to research work cannot be claimed from UGC.

Note: All kinds of leave should be approved at the level of the University/Institution/College.

#### 8. Procedure for applying for the scheme

Applications are invited through online mode once in a year through advertisement in leading newspapers & employment news. Short notification is also uploaded in the UGC website i.e. <a href="https://www.ugc.ac.in">www.ugc.ac.in</a>.

Note: Candidates have to apply strictly as per the instructions given in the online portal. Incomplete application will not be considered.

#### 9. Selection Procedure

- i. The online applications received will be scrutinized by the screening committee. Later, the short listed candidates will be called to appear before the expert committee, constituted by the Commission. No TA/DA will be paid for appearing in the interview to the candidates. The decision of the Commission will be final. Result shall be published on UGC website. Award letters can be downloaded from UGC online application portal.
- ii. If the Fellow is availing any other fellowship/project from any organization at the time of selection, he/she shall be required to surrender one award.
- iii. The award is subject to UGC guidelines and will be effective from 1<sup>st</sup> April of the selection year or the actual date of joining in the University/College/Institute, whichever is later.
- iv. The Commission reserves the right to withdraw/cancel the award without assigning any reason.

#### 10. Joining of Fellowship

The candidate should join the fellowship within 3 months from the date of issue of Award letter, failing which the award will be treated as cancelled.

#### 11. Procedure for release of grant by the UGC

The fellowship amount shall be disbursed through UGC designated bank into the bank account of the awardee directly. The list of designated branches of UGC identified bank is available on the UGC portal.

The awardee is required to approach the designated branch along with a copy of the Award Letter and Joining Report with photograph, address and contact number in the prescribed proforma as per **Annexure-II.** The awardee is also required to submit the following documents to the designated branch of the UGC designated bank at the stipulated period interval.

- i. At the end of every three months a 'Continuation Certificate' in the prescribed proforma as per **Annexure-III**. This will make the fellow eligible to draw the fellowship for the next three months.
- ii. After completion of one year of the award, the concerned fellow shall submit the Progress Report in the proforma prescribed by the UGC as given in **Annexure-IV**.
- iii. The fellow shall also submit accounts of contingency grants in the proforma prescribed by the UGC as given in **Annexure-V** .
- iv. The fellow shall submit and present its HRA Certificate in the prescribed proforma **Annexure-VI**.

Note: The approved Agency may verify the genuineness of records before disbursal of funds.

#### 12. Procedure for monitoring the Progress of the Scheme

#### 12.1 Progress report

On the progress of research work, the research fellow shall submit yearly progress report duly signed by Guide and forwarded by HOD and Registrar/Principal/Director of the concerned institution to the identified agency.

#### 12.2 Departmental Research Committee Report

After 2 years, progress report of the research work done by the fellow may be examined by a departmental research committee constituted by the university/college/institute and recommendations/ comments of the committee may be sent to the identified Agency. (Annexure-VII)

In case the progress of the work is found unsatisfactory, the award may be terminated by the Commission at any time during the tenure of the award. The decision of the Commission in this regard will be final.

#### 12.3 Fellowship/Assignment/Job

The fellow who is awarded Dr. S. Radhakrishnan Post Doctoral Fellowship in Humanities And Social Sciences (Including Languages) will not accept or hold any other fellowship or

any appointment, paid or otherwise, or receive any emoluments, salary, stipend, etc. from any other source during the tenure of the award (except in case of academic leave of one year).

If the fellow wishes to apply for any other fellowship/paid assignment/examination during the tenure of the fellowship, he/she is not required to obtain NOC from UGC. In case of selection, he/she would be required to tender resignation from the UGC fellowship.

#### 12.4 Feedback

The fellow shall have to submit feedback in form of the report on the research work done. After completion of the research work the fellow shall submit his/her research work in the INFLIBNET repository along with the research papers published. At least 4 research papers (2 National and 2 International) must be submitted.

- 2 National/International publications at the time of submitting departmental review committee report.
- 2 National/International publications by the end of the tenure.

Note: The last 2 installments will be released only after submission of the feedback and research work in the repository. The fellow will be issued a PDF Completion Certificate after successfully submitting comprehensive report of research work and publication in INFLIBNET repository.

#### 13. Resignation

Resignation of the post doctoral fellow will be forwarded by the concerned University/College/Institute to the designated agency under intimation to UGC office. The grant will be released upto the date of resignation tendered by the fellow.

#### 14. Transfer of Research Place

Transfer of the Research Place should be done by concerned University/College/Institute under the intimation to UGC and designated agency. Transfer of Research place will be allowed only once during the entire tenure.

#### 15. Cancellation of Award

The award is liable to be cancelled in the following cases:

- i. If the awardee is found to be ineligible to receive the award, at any point of time during the entire duration of the fellowship.
- ii. Any false information furnished by the applicant.
- iii. Misconduct of Awardee
- iv. Unsatisfactory progress of research work of the awardee.
- v. Any other fellowship/scholarship is drawn from other source(s) during the tenure of the award.
- vi. Candidate if found employed during the PDF research

#### No Objection Certificate for Dr. S. Radhakrishnan Postdoctoral Fellowship in Humanities and Social Sciences (including languages)

I nis i	s to certi	ny that	:-						
a)	The	Unive	ersity/Institut	tion/College	has	No	objection	in	allowing
	Dr			t	to pursue	e his/her	Post Doctora	al Resea	arch in the
	Depart	ment	of			under	University	/Colleg	e/Institute
								·	
b)	researc	h depa		s, such as fu shall be provi		-			
c)			•	stitute shall earch work of		•		_	cheme for
	Signatu	ire of H	ead of the D	epartment		Signatu Registra official	ar/Director/P	rincipal	with

Note :- Incomplete NOC Will Be Summarily Rejected



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Paste Passport Size Photograph

#### "JOINING REPORT"

### **Dr. S. Radhakrishnan Post Doctoral Fellowship in Humanities and Social Sciences** (including languages)

Name of Fellow:

Award letter number and date:

12-digit unique (aadhaar) n	ımber, if any:			
IFSC Code:	MICR Code:			
Bank A/c No.	1 11, 140,	1 II. 190.		
e-mail :	Ph. No.	Ph. No.	·	เนเเบม
Name of the Candidate Ph. /Mobile No.	Head of the Deptt. (Seal)	U	trar/Director/Prin f University /Insti	-
Date:	Date:	Date:	tuan/Dinastan/Di	ainal
Name	Name	Name		
Signature	Signature	Signat	ture	
Also certified that he/she is the above fellowship.	not a recipient of emol	uments from any	other source afte	r joining
He/She will be provided wand conditions of the offer		=	nure of award. T	he terms
		.University.		
category. He/She has be	en awarded Ph.D. d	legree on	(Dat	e) from
Commission with effect fr	om	. (FN/AN). He/S	the belongs to	
	under the	above scheme	of University	Grants
of	under the Univ	ersity/College/In	stitute	• • • • • • • • •
This is to certify that Dr.				_
This is to contify that Dr		h	og joined the De	nortmant



#### UNIVERSITY GRANTS COMMISSION (SELECTION & AWARDS BUREAU) BAHADUR SHAH ZAFAR MARG, NEW DELHI- 110002

#### **CONTINUTION CERTIFICATE**

### Award of Dr. S. Radhakrishnan Post Doctoral Fellowship in Humanities and Social Sciences (including languages)

	(Seal)	(Seal of University/Institution/C	ollege)
Name of the Candidate	Head of the Deptt.	Registrar/Director/Principal	
Date:	Date:	Date:	
Name	Name	Name	
Signature	Signature	Signature	
above mentioned scheme	for the quarter from	to	·
continuously working in	the Department	un	der the
This is to certify that	Dr		has



#### UNIVERSITY GRANTS COMMISSION (SELECTION & AWARDS BUREAU) BAHADUR SHAH ZAFAR MARG, **NEW DELHI- 110002**

#### YEARLY PROGRESS REPORT

1.	Name of the Post-Doctoral Fellow:					
2.	Award letter number and date:					
3.	Topic of research work:					
4.	Period of Progress Report:					
5.	Total number of working days during the period:					
6.	Number of days the fellow remained on leave (with dates):					
	a) With fellowship, number of days: from to					
	b) Without fellowship, number of days: from to					
7.	Number of days the Post Doctoral Fellow remained out of station for fieldwork/travel with dates and places visited:  a) Number of days: from to					
	b) Places visited					
8.	Number of days the Post Doctoral Fellow remained present at the					

- e University/Institution/ College:
- 9. Publications during the period under report (please enclose a reprint of each): Title of article/paper.
- 10. Teaching work done during the period under report:
  - (a) Number of periods taken per week at B.Sc./B.A level:
  - (b) Number of periods taken per week at M.Sc./M.A. level:
- 11. Title of the monograph written during the period under report:
- 12. A detailed account of the work done during the period (a separate sheet may be attached for the purpose):
- Comments of the supervisor on the progress of the research work during the period 13. under report:

Signature Signature Signature Name Name Name Date: Date: Date:

Head of the Deptt. Registrar/Director/Principal Name of the Candidate

> (Seal of University/Institution/College) (Seal)



#### UNIVERSITY GRANTS COMMISSION (SELECTION & AWARDS BUREAU) BAHADUR SHAH ZAFAR MARG, NEW DELHI- 110002

### $\frac{FORM\ FOR\ SUBMITTING\ ACCOUNTS\ OF\ CONTINGENCY\ GRANTS\ AND\ THE}{UTILISATION\ CERTIFICATE}$

Mal	me of the Candidate	Head of the Deptt. (Seal)	Registrar/Director/Principal (Seal of University/Institution/College)			
Dat	e : me of the Candidate	Date:	Date:			
Nai		Name	Name			
_	nature	Signature	Signature			
,		r audit objection, some fund, adjust or regulari	irregularity is noticed at a later stage, ze the objected amount.			
anc	conditions laid down t	by the University Grants (	commission.			
	-	•				
•	•		) in respect ofsanctioned in accordance with the terms			
		) out of the contingen	(Rupees			
	F- Travel/fieldwork:					
		trical/electronic goods:				
	D- Postage:					
	C- Stationery:					
	B- Typing (tracing an	d ammonia printing):				
	A - Books and allied i	tems:				
	Amount	Dated				
	From:	to				
5.	Expenditure					
4.	Period to which the accounts of contingency grant relates:					
3.	Name of the scheme under which she is working:					
2.	Award letter number a	and date:				
1.	Name of Post-Doctora	al Fellow:				

N.B. For any correspondence in this regard, the Commission's letter number and date may please be quoted without fail.

#### ANNEXURE – VI

#### **HRACERTIFICATE**

Certificate No.1		
Certified that Mr./Ms.		is paying
house rent of Rs		and is eligible to draw House
Rent Allowance @ Rs	a	s per university rules.
		Registrar/Director/Principal
	Or	
Certificate No.2		
Certified that Mr./Ms		is staying independently
and, therefore is eligible to	draw House Rent Allow	ance @ Rs.
minimum admissible to a l	lecturer as per university i	rules.
		Registrar/Director/Principal
	Or	
Certificate No.3		
Certified that Mr./Ms		has been provided
accommodation in the hos	stel. But he/she could no	t be provided with single seated flat type
accommodation as	recommended by	the Commission. Hostel fee
Rs	Per month w.e.f	is being charged from
him/her.		
		Registrar/Director/Principal
If, as a result of check o action will be taken to re	,	irregularity is noticed at a later stage, ze the objected amount.
Signature	Signature	Signature
Name Date :	Name Date :	Name Date:
Name of the Candidate	Head of the Deptt.	Registrar/Director/Principal (Seal of University/Institution/College)

N.B. For any correspondence in this regard, the Commission's letter number and date may please be quoted without fail.

## DEPARTMENTAL RESEARCH COMMITTEE REPORT UNDER THE SCHEME OF DR. S. RADHAKRISHNAN POST DOCTORAL FELLOWSHIP IN HUMANITIES AND SOCIAL SCIENCES (INCLUDING LANGUAGES)

Signature Name Supervisor	Signature Name Head of Department (Seal)	Signature Name Registrar/ Director /Principal (Seal of University/Institution/College)
		ormance of the fellow, and also the fact the committee makes the following
(Tick (✔) whichever is app	licable)	
RECOMMENDATIONS		
The Committee assessed interview and recommend	1 0	through their presentation followed by
ASSESSMENT OF THE C	COMMITTEE	
VENUE OF ASSESSMEN	T/INTERVIEW:	
Date of meeting:		Time:
Ph.D. registration No.:		
Date of joining:		
3.		
2.		
1.		
(Name and designation)		
CONSTITUTION OF THE	E COMMITTEE	
·		
		versity/Institution/Collegeon completion of two years dated
_		of University Grants Commission at the