UNIVERSITY GRANTS COMMISSION
NOTIFICATION
New Delhi, the 11th July, 2016

No.F.1-2/2016(PS/Amendment) -In exercise of the powers conferred under clauses (e) and (g) of sub-section (1) of Section 26 of University Grants Commission Act, 1956 (3 of 1956), the University Grants Commission hereby frames the following amendment Regulations, namely: -

APPENDIX – III: TABLE I
ACADEMIC PERFORMANCE INDICATORS (API) FOR CAREER ADVANCEMENT SCHEME (CAS) PROMOTIONS FOR ASSISTANT PROFESSOR, ASSOCIATE PROFESSOR AND PROFESSOR AND FOR
DIRECT RECRUITMENT OF ASSOCIATE PROFESSOR AND PROFESSOR IN UNIVERSITIES AND COLLEGES.

	Direct Teaching Hours per week
Assistant Professor	16
Associate Professor	14
Professor	14

CATEGORY I: TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES TO BE FILLED FOR EACH YEAR SEPARATELY

Year:

Category I	Nature of Activity	Actual hours spent for the academic year	API Score
a.	Direct Teaching Assistant Professor – Max. 70 Associate Professor – Max. 60 Professor – Max. 60		
b.	Examination duties (question paper setting, Invigilation, evaluation of answer scripts) as per allotment Assistant Professor – Max. 20 Associate Professor – Max. 20 Professor – Max. 10		
c.	Innovative Teaching -learning methodologies, updating of subject contents/courses, mentoring etc. Assistant Professor – Max. 10 Associate Professor – Max. 15 Professor – Max. 20		
Total API score of Category Ia, Ib and Ic is			

Cumulative API score for the Assessment period -

Note:
1. Direct Teaching 16/14/14 hours per week include the Lectures/Tutorials/Practicals /Project Supervision/Field Work. .
2.University may prescribe minimum cut-off, say 75%, below which no scores may be assigned in these sub-categories.
Calculation of API Score: The actual hours spent for the academic year is considered for each category Ia, Ib and Ic.
To calculate the API score for category Ia the actual hours spent is divided by a factor of 7.5 for Assistant Professor and 7.75 for Associate professor and Professor.
To calculate the API score for category Ib and Ic the actual hours spent is divided by a factor of 10 for Assistant Professor, Associate professor and Professor.

CATEGORY II: PROFESSIONAL DEVELOPMENT, CO-CURRICULAR AND EXTENSION ACTIVITIES TO BE FILLED FOR EACH YEAR SEPARATELY

Year:

Category II	Nature of Activity	Actual hours spent for the academic year	API Score
a.	Student related co-curricular, extension and field based activities. Max - 15		
	(i) Discipline related co-curricular activities		
	Remedial classes		
	Career counseling,		
	Study visit,		
	Student seminar		
	Other events (specify any)		
	(ii) Other co-curricular activities		
	Cultural		
	Sports		
	NSS		
	NCC		
	Other events (specify any)		
	(iii) Extension and dissemination activities		
	Public lecture		
	Popular lectures		
	talks		
	Seminar		
	Other events (specify any)		
Total API score for Category IIa is			
b.	Contribution to corporate life and management of the department and institution through participation in academic and administrative committees and responsibilities. Max - 15		
	(i). Administrative responsibility		
	Dean		
	Chairperson		
	Convener		
	Teacher-in-charge		
	Other events (specify any)		
	(ii). Academic responsibility		
	Board of studies chairman / member		
	Academic committees (Chairman / member)		
	Administrative committees (Chairman / member)		
	Other events (specify any)		
Total API score for Category IIb is			
c.	Professional Development activities. Max - 15		
	Participation in seminars / conferences		
	Participation in workshops		
	Short term training courses		
	Industrial experience		
	Talks		
	Lectures		
	Faculty development courses		
	Refresher course		
	dissemination and general articles		
	Other events (specify any)		
Total API score for Category IIc is			

Cumulative API score for the Assessment period -

Note: Calculation of API Score: The actual hours spent for the academic year is considered for each category IIa, IIb and IIc. and shall be supported by the relevant letters / certificates / orders. Any one day event is considered as 8 hours.
To calculate the API score for category IIa, IIb and IIc. the actual hours spent is divided by a factor of 10 for Assistant Professor, Associate professor and Professor.
Example for score calculation: 15 score means 15 hours of teaching per academic year.
#API score is calculated by dividing the actual score by 10 which is 1.5 API score.

Category III: Research and Academic contributions.

(A) Publications in Journals and Books

Activity	Science Faculty	Faculties of Languages, Humanities, Arts, Social Science, Education and law	Maximum score	API score
Research papers	Refereed Journals	Refereed Journals	25 / publication	
	Reputed Journals	Reputed Journals	10 / publication	
Books & Chapters in Books	International Publishers	International Publishers	30 / book single author	
	National Publishers	National Publishers	20 / book single author	
	Local Publishers	Local Publishers	15 / book single author	
	Chapters in Books in National and Internationals publishers	Chapters in Books in National and Internationals publishers	Int. National – 10 and National – 5 per chapter	

Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented asfollows: (i) paper with impact factor less than 1 - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points: (v) papers with impact factor above 10 by 25 points. The API for joint publications shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor would share equally 70% of the total points and the remaining 30% would be shared equally by all other authors.

(B) Research Projects

Activity	Science Faculty	Faculties of Languages, Humanities, Arts, Social Science, Education and law	Maximum score	API score
Sponsored Projects	Major Projects above Rs. 30 lakhs	Major Projects above Rs. 5 lakhs	20 / Project	
	Major Projects above Rs. 5 up to 30 lakhs	Major Projects above Rs. 3 up to 5 lakhs	15 / Project	
	Minor Projects above Rs. 1 up to 5 lakhs	Minor Projects above Rs. 1 up to 3 lakhs	10 / Project	
Consultancy Projects	Amount mobilized with a minimum of Rs. 10 lakhs	Amount mobilized with a minimum of Rs. 2 lakhs	10 / Consultancy Project	
Projects outcome/ outputs	Patent / Technology transfer / Product / Process	Major Policy document of Central / State Govt. Bodies prepared	Patent: 30 for International and 20 for National. Policy document: 30 for International bodies, Central Govt. – 20, State Govt.-10 Local bodies – 5	

(C) Research Guidance

Activity	Science Faculty	Faculties of Languages, Humanities, Arts, Social Science, Education and law	Maximum score	API score
M. Phil.	Degree awarded	Degree awarded	5 / candidate	
Ph.D.	Degree awarded	Degree awarded	15 / candidate	
	Thesis submitted	Thesis submitted	10 / candidate	

(D) Fellowships, Awards and Invited lectures delivered in conferences / seminars

Science Faculty	Faculties of Languages, Humanities, Arts, Social Science, Education and law	Maximum score	API score
International Award / Fellowship		15 / Award 15 / Fellowship	
National Award / Fellowship / Membership in Academic Bodies		10 / Award 10 / Fellowship 10 / Academy membership	
State / University level Award		5 / Award	
Invited Lectures / Papers	International level	7 / Lecture 5 / Paper	
	National level	5 / Lecture 3 / Paper	
	State / University level	3 / Lecture 2 / Paper	

(E) Development of e-learning delivery process / materials

Science Faculty	Faculties of Languages, Humanities, Arts, Social Science, Education and law	Maximum score	API score
e-learning content development and delivery process		10 / module	

Total API Score combining Category I, II and III –

Sd/-
Registrar
University of Mysore
Mysuru